



**Department of Insurance
State of Arizona**

Financial Affairs Division – Trust Deposit Unit
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018-7269
Telephone: (602) 364-2712
Fax: (602) 364-3989

**TRUST DEPOSIT INSTRUCTIONS SUPPLEMENT A
FOR SECURITIES HELD BY CUSTODIAN**

CUSTODY AGREEMENT - FORM E003 REQUIRED: Contact the Department of Insurance to obtain a Custody Agreement Form E003 with Appendix A for Eligible Securities and Appendix B Fee Schedule. If you wish to receive a copy of the Agreement with original signatures after it has been signed by the Custodian, you must complete, sign and return the Agreement **in duplicate**. The Custodian will execute the Agreement(s) and return one fully executed Agreement, or a photocopy thereof, to the company. To expedite processing and set-up of your custodial account, you may fax the first page and signature page in advance of mailing all originals.

NEW ACCOUNT FORMS REQUIRED BY WACHOVIA BANK, NA:

In addition to Custody Agreement Form E003, the following forms are required to establish an account with Wachovia Bank, NA and to obtain its prior approval for delivery of securities:

1. Account Reference Form (informational purposes only)
2. Client Data Information Form
3. Internal Revenue Service Form W-9
4. Portfolio-Vision Services Agreement Form
5. Portfolio-Vision Enrollment/Change Form
6. Security Deposit Form

Do not attempt to deliver securities to Wachovia Bank, NA until you have provided all of the forms listed above to this Department and received notification from Wachovia Bank, NA to proceed with delivery.

REINVESTMENTS AND EXCHANGES - DEPARTMENT APPROVAL NOT REQUIRED:

You may contact the Custodian directly to prepare and perform reinvestments or exchanges without prior written Department approval. The Insurance Company and Custodian must, at all times, assure that only Eligible Securities are on deposit and that the Account Value is equal to or greater than the Minimum Account Balance specified in Paragraph 1.4 of the Custody Agreement Form E003.

COMPLETE RELEASE OF A TRUST DEPOSIT:

Complete release of a trust deposit must be authorized in an order of the Director of Insurance which sets forth the findings of fact and conclusions of law applicable to the insurer's Arizona deposit requirement. The order will specify any conditions and instructions that the insurer must satisfy prior to release of the deposit.